

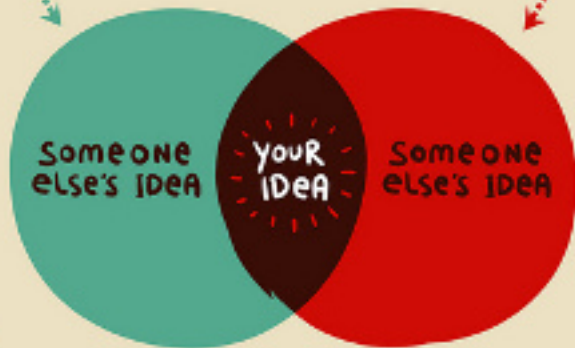
Deriving Interpretations of the Gradually-Typed Lambda Calculus

Álvaro García-Pérez Pablo Nogueira Ilya Sergey

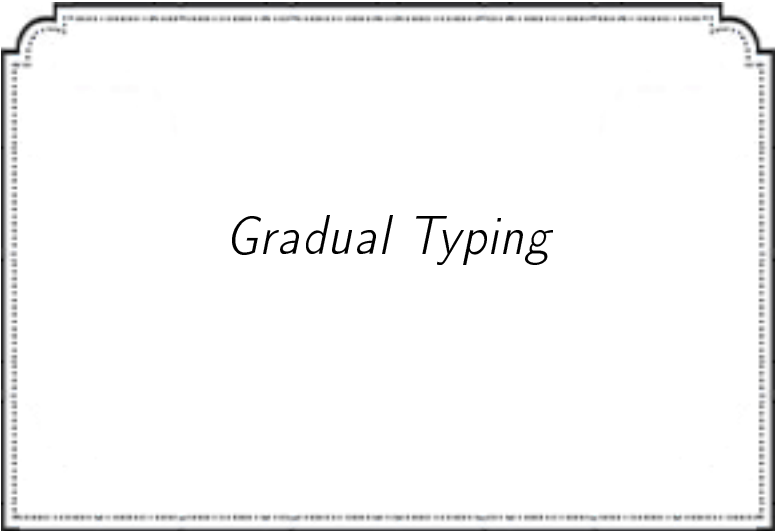
San Diego, January 2014

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How to be a VISIONARY



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Gradual Typing

Core language $\lambda_{\rightarrow}^{\langle \cdot \rangle}$ of the gradually-typed lambda calculus (Siek, Garcia and Taha 2009)

$$\begin{aligned} T &::= \text{Int} \mid \text{Bool} \mid T \rightarrow T \\ e &::= k \mid \text{op } e \mid \text{if } \text{cnd } \text{thn } \text{els} \mid \\ &\quad x \mid \lambda x : T . e \mid e e \end{aligned}$$

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Choice of dynamic semantics (Siek, Garcia and Taha 2009)

$$(\langle \text{Dyn} \rightarrow \text{Int} \Leftarrow \text{Dyn} \rangle^{\ell_2} \langle \text{Dyn} \Leftarrow \text{Bool} \rightarrow \text{Bool} \rangle^{\ell_1} (\lambda x : \text{Bool}. x)) (\langle \text{Dyn} \Leftarrow \text{Int} \rangle^{\ell_3} 1)$$

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\mapsto^* Blame $\ell?$

Who should be blamed?

Choice of dynamic semantics (Siek, Garcia and Taha 2009)

$$((\text{Dyn} \rightarrow \text{Int} \Leftarrow \text{Dyn})^{\ell_2} (\text{Dyn} \Leftarrow \text{Bool} \rightarrow \text{Bool})^{\ell_1} (\lambda x : \text{Bool}.x)) ((\text{Dyn} \Leftarrow \text{Int})^{\ell_3} 1)$$
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Two axis:

Choice of dynamic semantics (Siek, Garcia and Taha 2009)

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Two axis:

- ▶ When should a cast be checked? (*eager* **E** or *lazy* **L** error detection).

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Type consistency relation: *deep* versus *shallow*.

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Type consistency relation: *deep* versus *shallow*.

- ▶ How should functions be upcast? (*downcast* **D** or *upcast-downcast* **UD** blame tracking).

$$\langle \text{Dyn} \rightarrow \text{Int} \Leftarrow \text{Dyn} \rightarrow \text{Dyn} \rangle^{\ell_2} \langle \text{Dyn} \rightarrow \text{Dyn} \Leftarrow \text{Bool} \rightarrow \text{Bool} \rangle^{\ell_1} (\lambda x : \text{Bool}. x)$$

Subtyping relation: arrow types are subtypes $\text{Dyn} \rightarrow \text{Dyn}$.

Choice of dynamic semantics (Siek, Garcia and Taha 2009)

ED	EUD
LD	LUD

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ED	EUD
LD	LUD

The eager-downcast semantics (**ED**) provides thorough error detection (deep consistency) and intuitive blame tracking (failure is produced by downcast).



Layered Semantics

Semantics for $\lambda_{\rightarrow}^{\langle \cdot \rangle}$ (Siek, Garcia and Taha 2009)

The reduction semantics of $\lambda_{\rightarrow}^{\langle \cdot \rangle}$ is *layered*:

$$(\lambda x : T. e)v \longrightarrow [x/v]e \quad (\beta)$$

$$op\ n \longrightarrow \delta(op, n) \quad (\delta)$$

$$\text{if } k\ e_1\ e_2 \longrightarrow \begin{cases} e_1 & \text{if } k = \text{true} \\ e_2 & \text{if } k = \text{false} \end{cases} \quad (\text{If})$$

$$\langle c \rangle v \longrightarrow \langle c' \rangle v \quad \text{if } c \longmapsto_{\text{ED}} c' \quad (\text{StepCst})$$

$$\langle \iota \rangle s \longrightarrow s \quad (\text{IdCst})$$

$$\langle d \rangle \langle \bar{c} \rangle s \longrightarrow \langle \bar{c}; d \rangle s \quad (\text{CmpCst})$$

$$\langle \tilde{c} \rightarrow \tilde{d} \rangle s\ v \longrightarrow \langle \tilde{d} \rangle (s\ \langle \tilde{c} \rangle v) \quad (\text{AppCst})$$

$$\langle \text{Fail}^\ell \rangle s \longrightarrow \text{Blame } \ell \quad (\text{FailCst})$$

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Semantics for **ED** (Siek, Garcia and Taha 2009)

$$l_1!; l_2?^\ell \longrightarrow_{\text{ED}} \langle\langle l_2 \Leftarrow l_1 \rangle\rangle^\ell \quad (\text{InOut})$$

$$(\tilde{c}_1 \rightarrow \tilde{c}_2); (\tilde{d}_1 \rightarrow \tilde{d}_2) \longrightarrow_{\text{ED}} ((\tilde{d}_1; \tilde{c}_1) \rightarrow (\tilde{c}_2; \tilde{d}_2)) \quad (\text{Arr})$$

$$\iota; \hat{c} \longrightarrow_{\text{ED}} \hat{c} \quad (\text{IdL})$$

$$\hat{c}; \iota \longrightarrow_{\text{ED}} \hat{c} \quad (\text{IdR})$$

$$\text{Fail}^\ell; \hat{c} \longrightarrow_{\text{ED}} \text{Fail}^\ell \quad (\text{FailCo})$$

$$l!; \text{Fail}^\ell \longrightarrow_{\text{ED}} \text{Fail}^\ell \quad (\text{InFail})$$

$$(\text{Fail}^\ell \rightarrow \hat{d}) \longrightarrow_{\text{ED}} \text{Fail}^\ell \quad (\text{FailL})$$

$$(\tilde{c} \rightarrow \text{Fail}^\ell) \longrightarrow_{\text{ED}} \text{Fail}^\ell \quad (\text{FailR})$$



The Goal

Proving correction of existing implementations (Siek and Garcia 2012)

```
fun interp cast apply (e, env)
= let val recur = interp cast apply
  in (case (e, env)
      of (CON c, env) => V (VCON c)
        | (IND n, env) => V (List.nth (env, n))
        | (PRIM (oper, e), env) => (case recur (e, env)
                                     of (V (VCON c)) => V (VCON (delta (oper, c)))
                                      | (blame as BLAME _) => blame)
        | (IFTE (cnd, thn, els), env)
          => (case recur (cnd, env)
              of (V (VCON TRUE))    => recur (thn, env)
               | (V (VCON FALSE))  => recur (els, env)
               | (blame as BLAME _) => blame)
        | (APP (e1, e2), env)
          => (case recur (e1, env)
              of (V v1)              => (case recur (e2, env)
                                         of (V v2)              => apply (v1, v2)
                                          | (blame as BLAME _) => blame)
               | (blame as BLAME _) => blame)
        | (LAM (t1, e), env) => V (VPROC (fn v => recur (e, v :: env)))
        | (CAST (e, t1, t2, l), env) => (case recur (e, env)
                                         of (V v)    => cast (v, t1, t2, l)
                                          | (blame as BLAME _) => blame))
      end
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(Siek and Garcia 2012). Interpretations of the gradually-typed lambda calculus. In *Proceedings of the Workshop on Scheme and Functional Programming*.

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(Siek and Garcia 2012)

Conjecture (correctness of coercion composition)

Given two well-typed coercions in normal form, \hat{c}_1 and \hat{c}_2 , we have

$$\text{seq_ed}(\hat{c}_1, \hat{c}_2) = \hat{c}_3 \text{ and } (\hat{c}_1 ; \hat{c}_2) \mapsto_{\text{ED}}^* \hat{c}_3$$

Conjecture (agreement with $\lambda\langle\cdot\rangle$)

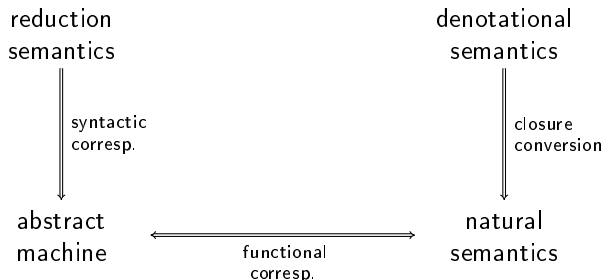
For any well-typed program e , we have

$$\text{interp_ed}(e) = v \text{ iff } e \mapsto^* v.$$



The Method

Inter-derivation of semantic artefacts (Danvy 2008)



(Danvy 2008). Defunctionalized interpreters for programming languages. In *Proceedings of the 13th ACM SIGPLAN International Conference Programming, (ICFP'08)*.



The Problem

What about other dynamic semantics?

$$(\lambda x : T. e)v \longrightarrow [x/v]e \quad (\beta)$$

$$op\ n \longrightarrow \delta(op, n) \quad (\delta)$$

$$\text{if } k\ e_1\ e_2 \longrightarrow \begin{cases} e_1 & \text{if } k = \text{true} \\ e_2 & \text{if } k = \text{false} \end{cases} \quad (\text{If})$$

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Inter-derivation for modular artefacts?

- ▶ Normal order strategy in pure λ -calculus:
Reasoning on the shape invariant of the **layered** continuation stack (García-Pérez and Nogueira 2013).
- ▶ Eval-readback machine of (Curien 1993):
Using **2-level CPS** to separate the eval from the readback stage (Danvy, Millikin and Munk 2013).

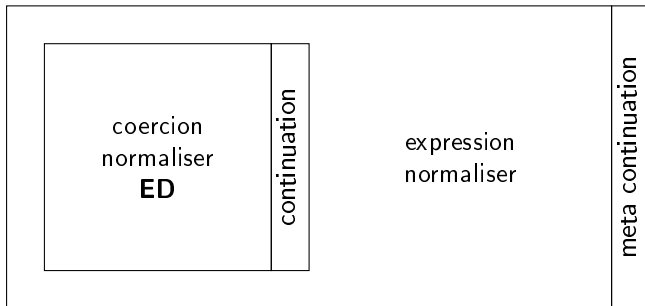
(García-Pérez and Nogueira 2013). A syntactic and functional correspondence between reduction semantics and reduction-free full normalisers. In *Symposium on Partial Evaluation and Program Manipulation (PEPM'13)*.

(Danvy, Millikin, and Munk 2013). A correspondence between full normalization by reduction and full normalization by evaluation. *A scientific meeting in honor of Pierre-Louis Curien*.

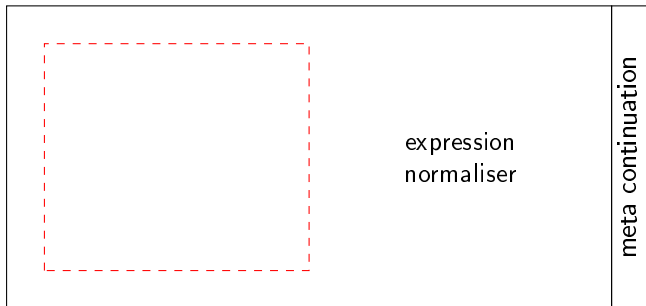
The Solution

Layered  2-CPS

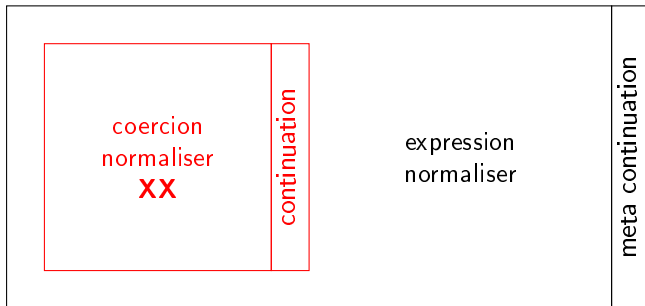
2-Level CPS artefacts for $\lambda_{\rightarrow}^{(\cdot)}$



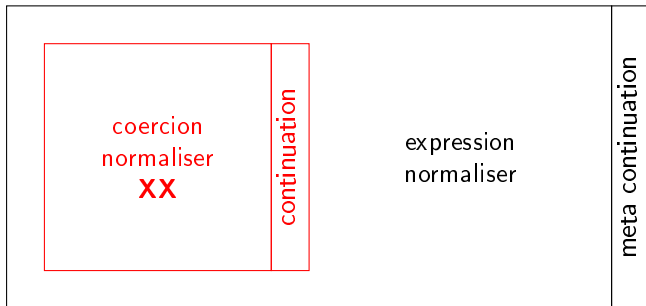
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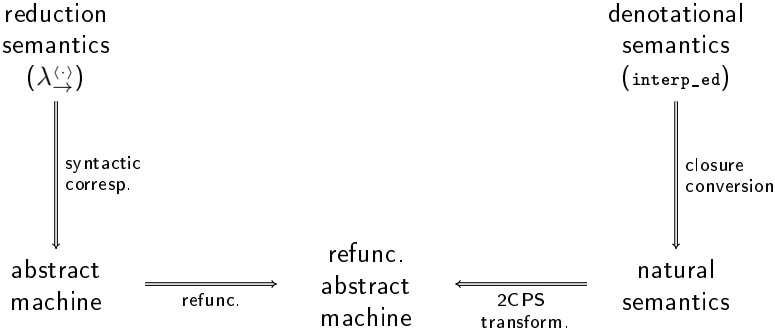


2-Level CPS artefacts for $\lambda_{\rightarrow}^{(\cdot)}$

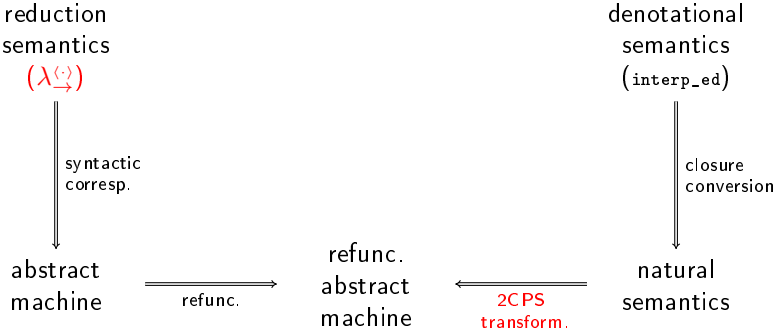


Allows the inter-derivation to be modular in the dynamic semantics!

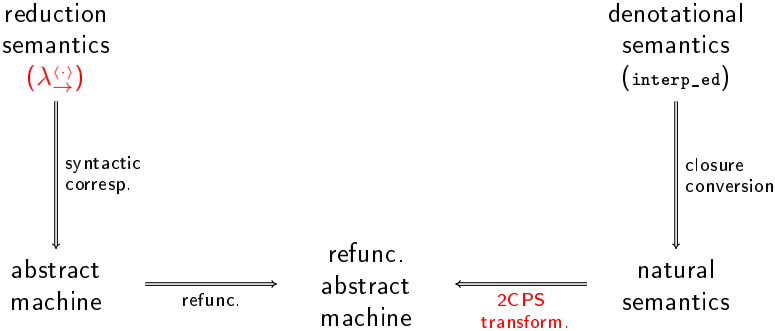
Derivation path for 2-CPS artefacts



Derivation path for 2-CPS artefacts



Derivation path for 2-CPS artefacts



Constructive proof of the correctness conjectures!

Contributions

- ▶ We prove correctness of Siek and Garcia's implementation for the **ED** semantics by program transformation, *i.e.*, *inter-derivation of semantic artefacts*.
- ▶ We showcase the use of *2-level continuation passing style* (2CPS) (Danvy, Millikin and Munk 2013) to make the operational semantics modular on the coercion's dynamic semantics.
- ▶ Correctness for other choices of dynamic semantics can be proven by reusing the machinery.
- ▶ We introduce the gradually-typed calculus of closures $\lambda_{\rho}^{\langle \cdot \rangle}$, which simulates $\lambda^{\langle \cdot \rangle}$.

On-line implementation at

<http://babel.ls.fi.upm.es/~agarcia/papers/Gradual>

Conclusions

- ▶ Implementations of $\lambda_{\rightarrow}^{\langle \cdot \rangle}$ are proven correct.
- ▶ Inter-derivation is an effective but underused technique.
- ▶ Layered semantics are everywhere:
 - ▶ Ahead Machine (Paolini and Ronchi della Rocca 1999).
 - ▶ Strong Reduction (Grégorie and Leroy 2002).
 - ▶ Hybrid strategies (Sestoft 2002).
 - ▶ Full-reducing Krivine Abstract Machine (Crégut 2007) (García-Pérez, Nogueira, Moreno-Navarro 2013).
 - ▶ Outermost reduction (Danvy and Johansenn 2013).

Inter-derivation works for them!

- ▶ 2CPS techniques introduce conceptual overhead, but they deliver a high return in some settings.

Conclusions

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Inter-derivation works for them!

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Gradually-typed lambda calculus ($\lambda_{\rightarrow}^?$)

(Siek and Taha 2006)

$$\begin{aligned} T &::= * \mid ? \mid T \rightarrow T \\ e &::= k \mid x \mid \lambda x : T. e \mid e e \end{aligned}$$

Translation for the **ED** semantics

$$\begin{aligned}\langle\langle B \Leftarrow B \rangle\rangle^\ell &= \iota \\ \langle\langle B_2 \Leftarrow B_1 \rangle\rangle^\ell &= \text{Fail}^\ell && \text{if } B_1 \neq B_2 \\ \langle\langle \text{Dyn} \Leftarrow \text{Dyn} \rangle\rangle^\ell &= \iota \\ \langle\langle \text{Dyn} \Leftarrow B \rangle\rangle^\ell &= B! \\ \langle\langle B \Leftarrow \text{Dyn} \rangle\rangle^\ell &= B?^\ell \\ \langle\langle T_1 \rightarrow T_2 \Leftarrow B \rangle\rangle^\ell &= \text{Fail}^\ell \\ \langle\langle B \Leftarrow S_1 \rightarrow S_2 \rangle\rangle^\ell &= \text{Fail}^\ell \\ \langle\langle T_1 \rightarrow T_2 \Leftarrow S_1 \rightarrow S_2 \rangle\rangle^\ell &= \text{mkArr}(\langle\langle S_1 \Leftarrow T_1 \rangle\rangle^\ell, \langle\langle T_2 \Leftarrow S_2 \rangle\rangle^\ell) \\ \langle\langle \text{Dyn} \Leftarrow S_1 \rightarrow S_2 \rangle\rangle^\ell &= S_1 \rightarrow S_2! \\ \langle\langle T_1 \rightarrow T_2 \Leftarrow \text{Dyn} \rangle\rangle^\ell &= T_1 \rightarrow T_2?^\ell \\ \text{mkArr}(\text{Fail}^\ell, \hat{c}_2) &= \text{Fail}^\ell \\ \text{mkArr}(\hat{c}_1, \text{Fail}^\ell) &= \text{Fail}^\ell \\ \text{mkArr}(\hat{c}_1, \hat{c}_2) &= \hat{c}_1 \rightarrow \hat{c}_2 && \text{otherwise}\end{aligned}$$

Higher-order casts

Not possible to check all the casts immediately:

```
⟨Int → Int ⇐ Int → Dyn⟩  
  (λx : Int. if (x > 0) then (⟨Dyn ⇐ Bool⟩true)  
    else (⟨Dyn ⇐ Int⟩2))
```

Therefore, casts could be...

- ... *safe* (respect subtyping).
- ... *unsafe* (might fail at runtime).
- ... *inadmissible* (don't respect static typing).

Existing Implementations (Siek and Garcia 2012)

```
fun seq_ed (ID, c2) = c2
  | seq_ed (c1, ID) = c1
  | seq_ed (INJ t1, PROJ (t2, l)) = mk_coerce_ed (t1, t2, l)
  | seq_ed (ARR (c11, c12), ARR (c21, c22)) = mk_arrow_eager (seq_ed (c21, c11),
                                                                    seq_ed (c12, c22))

  | seq_ed (FAIL l, c2) = FAIL l
  | seq_ed (INJ t, FAIL l) = FAIL l
  | seq_ed (SEQ (c11, c12), c2) = seq_ed (c11, seq_ed (c12, c2))
  | seq_ed (PROJ (t, l), SEQ (ARR (c21, c22), c23)) = SEQ (PROJ (t, l), c2)
  | seq_ed (c1, SEQ (c21, c22)) = seq_ed (seq_ed (c1, c21), c22)
  | seq_ed (c1, c2) = SEQ (c1, c2)

fun apply_coercion_ed (c, v)
  = (case v of (VCOER (c1, v1)) => mk_cast_eager (seq_ed (c1, c), v1)
            | _ => mk_cast_eager (c, v))

fun apply_cast_ed (v, t1, t2, l) = apply_coercion_ed (mk_coerce_ed (t1, t2, l), v)

fun apply_ed (VCOER (ARR (c1, c2), f1), v)
  = (case apply_coercion (c1, v)
      of (V v1) => (case recur (f1, v1) of (V v2) => apply_coercion (c2, v2)
                                           | (BLAME l) => BLAME l)
      | (BLAME l) => BLAME l)
  | apply_ed (VPROC proc, v)
  = proc v
```

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```

2-Level CPS

```
datatype cont = C0
  | C1 of coercion * cont
  | C2 of normal * cont
  | C3 of normal * cont
  | C4 of normal * cont
  | C5 of coercion * cont
  | C6 of normal * cont
```

```
datatype meta_cont = MC0
  | MC1 of operator * meta_cont
  | MC2 of closure * closure * meta_cont
  | MC3 of closure * meta_cont
  | MC4 of value * meta_cont
  | MC5 of coercion * meta_cont
```

2-Level CPS

```
datatype coer_redex = CIDL of normal
                    | CIDR of coercion
                    | CINOUT of typ * typ * label
                    | CARR of normal * normal
                    | CFAILCO of label
                    | CINFAIL of label
                    | CFAILL of label
                    | CFAILR of label

datatype coer_decomp = DEC of coer_redex * cont
                    | RES of normal

datatype exp_redex = EBETA of exp * env * value
                   | EDELTA of operator * constant
                   | EIFTE of constant * value * value
                   | ESTEPCST of coercion * simp_val
                   | EIDCST1 of value
                   | ECOMPST of coercion * normal * simp_val
                   | EAPPCST of normal * simp_val * value
                   | EFAILCST of label
                   | EFAILFC of label

datatype exp_decomp = MDEC of exp_redex * meta_cont
                   | MRES of result
```


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```

Gradually-typed calculus of closures

environments $\rho ::= \epsilon \mid (x \mapsto \text{cl}) : \rho$

closures $\text{cl} ::= e[\rho] \mid \mathbf{prim} \text{ op } \text{cl} \mid \mathbf{if} \text{ cl } \text{cl} \text{cl} \mid \text{cl} \cdot \text{cl} \mid \langle c \rangle \text{cl}$

$((\lambda x : \text{Dyn}.x)(\langle \text{Int!} \rangle 1))[\epsilon]$

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$$\begin{array}{l} ((\lambda x : \text{Dyn}.x)(\langle \text{Int!} \rangle 1))[\epsilon] \\ \longmapsto_{\rho} \text{ephemeral expansion} \\ (\lambda x : \text{Dyn}.x)[\epsilon] \cdot (\langle \text{Int!} \rangle 1)[\epsilon] \end{array}$$

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$((\lambda x : \text{Dyn}.x)(\langle \text{Int!} \rangle 1))[\epsilon]$
 \longmapsto_{ρ} *ephemeral expansion*
 $(\lambda x : \text{Dyn}.x)[\epsilon] \cdot (\langle \text{Int!} \rangle 1)[\epsilon]$
 \longmapsto_{ρ} *ephemeral expansion*
 $(\lambda x : \text{Dyn}.x)[\epsilon] \cdot \langle \text{Int!} \rangle (1[\epsilon])$

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 $\xrightarrow{\rho}$ *ephemeral expansion*
 $(\lambda x : \text{Dyn}.x)[\epsilon] \cdot (\langle \text{Int!} \rangle 1)[\epsilon]$
 $\xrightarrow{\rho}$ *ephemeral expansion*
 $(\lambda x : \text{Dyn}.x)[\epsilon] \cdot \langle \text{Int!} \rangle (1[\epsilon])$
 $\xrightarrow{\rho}$ *delayed beta contraction*
 $x[(x \mapsto \langle \text{Int!} \rangle (1[\epsilon])) : \epsilon]$

Gradually-typed calculus of closures

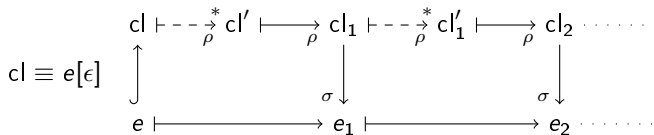
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$$\begin{aligned} & ((\lambda x : \text{Dyn}.x)(\langle \text{Int!} \rangle 1))[\epsilon] \\ \longmapsto_{\rho} & \textit{ephemeral expansion} \\ & (\lambda x : \text{Dyn}.x)[\epsilon] \cdot (\langle \text{Int!} \rangle 1)[\epsilon] \\ \longmapsto_{\rho} & \textit{ephemeral expansion} \\ & (\lambda x : \text{Dyn}.x)[\epsilon] \cdot \langle \text{Int!} \rangle (1[\epsilon]) \\ \longmapsto_{\rho} & \textit{delayed beta contraction} \\ & x[(x \mapsto \langle \text{Int!} \rangle (1[\epsilon])) : \epsilon] \\ \longmapsto_{\rho} & \textit{on-demand substitution} \\ & \langle \text{Int!} \rangle (1[\epsilon]) \end{aligned}$$

Gradually-typed calculus of closures

$(op\ e)[\rho]$	\longrightarrow_{ρ}	prim $op\ (e[\rho])$	$(Prim_{\rho})$
$(if\ e_1\ e_2\ e_3)[\rho]$	\longrightarrow_{ρ}	if $(e_1[\rho])\ (e_2[\rho])\ (e_3[\rho])$	$(IfTE_{\rho})$
$(e_1\ e_2)[\rho]$	\longrightarrow_{ρ}	$(e_1[\rho]) \cdot (e_2[\rho])$	(App_{ρ})
$(\langle c \rangle e)[\rho]$	\longrightarrow_{ρ}	$\langle c \rangle (e[\rho])$	$(Coer_{\rho})$
$x[\rho]$	\longrightarrow_{ρ}	cl if $(x \mapsto cl) \in \rho$	(Var_{ρ})
$e[\rho] \cdot v$	\longrightarrow_{ρ}	$e[(x \mapsto v) : \rho]$	(β_{ρ})
prim $op\ (n[\rho])$	\longrightarrow_{ρ}	$(\delta(op, n))[\epsilon]$	(δ_{ρ})
if $(k[\rho])\ cl_1\ cl_2$	\longrightarrow_{ρ}	$\begin{cases} cl_1 & \text{if } k = \text{true} \\ cl_2 & \text{if } k = \text{false} \end{cases}$	(If_{ρ})
$\langle c_1 \rangle v$	\longrightarrow_{ρ}	$\langle c_2 \rangle v$ if $c_1 \longmapsto_{ED} c_2$	$(StepCst_{\rho})$
$\langle l \rangle v$	\longrightarrow_{ρ}	v	$(IdCst_{\rho})$
$\langle d \rangle \langle \bar{c} \rangle s$	\longrightarrow_{ρ}	$\langle \bar{c} ; d \rangle s$	$(CmpCst_{\rho})$
$(\langle \tilde{c} \rightarrow \tilde{d} \rangle s) \cdot v$	\longrightarrow_{ρ}	$\langle \tilde{d} \rangle (s \cdot \langle \tilde{c} \rangle v)$	$(AppCst_{\rho})$
$\langle Fail^{\ell} \rangle s$	\longrightarrow_{ρ}	$(Blame\ \ell)[\epsilon]$	$(FailCst_{\rho})$
$(\langle \tilde{c} \rightarrow \tilde{d} \rangle ; Fail^{\ell}) s$	\longrightarrow_{ρ}	$Blame\ \ell$	$(FailFC)$

Gradually-typed calculus of closures



$$\begin{aligned}
 \sigma(k[\rho]) &= k \\
 \sigma((op\ e)[\rho]) &= op\ \sigma(e[\rho]) \\
 \sigma(x[\rho]) &= \begin{cases} \sigma(\text{cl}) & \text{if } (x \mapsto \text{cl}) \in \rho \\ x & \text{otherwise} \end{cases} \\
 \sigma((\lambda x : T.e)[\rho]) &= \lambda x : T.\sigma(e[\rho]) \\
 \sigma((e_1\ e_2)[\rho]) &= \sigma(e_1[\rho])\ \sigma(e_2[\rho]) \\
 \sigma(\langle c \rangle e[\rho]) &= \langle c \rangle (\sigma(e[\rho])) \\
 \sigma(\langle c \rangle \text{cl}) &= \langle c \rangle (\sigma(\text{cl})) \\
 \sigma(\text{Blame } \ell)[\rho] &= \text{Blame } \ell
 \end{aligned}$$