

Rule formats for bounded nondeterminism in structural operational semantics

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Motivation

Structural operational semantics and bounded nondeterminism

A *transition system specification* (TSS) consists of inference rules that induce a *labelled transition system* (LTS) $\{p \xrightarrow{a} p'\}$

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Exercises 3.3 and 3.4 in *Semantics with Applications: An Appetizer* [Nielson and Nielson, 2007]

While language with nondeterministic choice and statement `random(x)`.

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x := -1; while x <= 0 do (x := x - 1 or x := (-1) * x)
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Rule formats for finite branching: statically checkable (ideally) conditions on TSSs that guarantee continuous Scott-Strachey semantics ([Apt and Plotkin, 1986]).

Existing rule format for finite branching [Fokkink and Vu, 2003]

Theorem (Correctness of rule format)

Let R be a TSS. The LTS associated to R is finite branching if the following conditions hold:

- (i) R has no unguarded recursion (**strict stratification**).
- (ii) Each rule in R gives rise to finitely many transitions from each process (**bounded nondeterminism format**).
- (iii) Only finitely many rules in R can give rise to transitions from each process (**uniformity** and **finitely inhabited η -types**).

Example (Rules for merge in BPA)

$$\dots \quad \frac{x_0 \xrightarrow{c} x'_0}{x_0 \parallel x_1 \xrightarrow{c} x'_0 \parallel x_1} \quad \frac{x_1 \xrightarrow{c} x'_1}{x_0 \parallel x_1 \xrightarrow{c} x_0 \parallel x'_1} \quad \dots$$

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Strict stratification:

$$\begin{aligned} S(c) &= 0 \\ S(p_0 \parallel p_1) &= 1 + S(p_0) + S(p_1) \\ &\dots \end{aligned}$$

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Bounded nondeterminism format:

$$\left\{ \begin{array}{c} u_k \xrightarrow{b_k} u'_k \\ \uparrow \qquad \qquad \downarrow \\ t \xrightarrow{a} t' \end{array} \right\}$$

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Uniformity and finitely inhabited η -types:

$$\eta(x_0 \parallel x_1) = \{x_0, x_1\}$$

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Uniformity and finitely inhabited η -types:

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The problem

- ▶ Mechanising the proof of correctness of the rule format?

Claim [Fokkink and Vu, 2003]

For every term t there are finitely many maps ψ such that there exists a rule r of η -type $\langle t, \psi \rangle$ which gives rise to transitions.

Proof: by assuming that the set of different maps ψ is infinite and deriving a contradiction. □

Reasoning by contradiction here is not constructive!

- ▶ Bounded-nondeterminism properties other than finite branching?

An LTS is *image finite* iff for every p and a the set $\{p' \mid p \xrightarrow{a} p'\}$ is finite.

An LTS is *initials finite* iff for every p the set $\{a \mid \exists p'. p \xrightarrow{a} p'\}$ is finite.

Rule formats for initials finiteness and for finite branching?

Our contribution

Constructive proof of correctness of the rule format

For each process $p = \sigma(t)$, the ψ maps such that there exists a rule r of η -type $\langle t, \psi \rangle$ which gives rise to transitions are dependent functions of type $\psi : \prod_{v \in \eta(t)} \{a \mid \sigma(v) \xrightarrow{a} q\}$.

Constructivity enables the mechanisation of the proof with a state-of-the-art proof assistant (work in progress).

Rule formats for image finiteness and initials finiteness

Definition (Image finiteness and initials finiteness)

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The properties require modified η -types that either *ignore the targets* or *keep track of both actions and targets* in transitions.

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Example (Statement $\text{random}(x)$)

$$\frac{}{\langle \text{random}(x); S, s \rangle \xrightarrow{n} \langle S, s[x \mapsto n] \rangle}, \quad n \in \mathbb{N}.$$

Related and Future work

- ▶ Generalise the rule formats to other bounded-nondeterminism properties [Aceto et al., 2016].
- ▶ Extend the rule formats to SOS with terms as labels [Aceto et al., 2016].
- ▶ Modify the rule formats to cover cases that we are aware are not covered yet.
- ▶ Extend the rule formats to many sorted signatures and Nominal SOS.

Summary





- ▶ Rule formats for bounded nondeterminism are useful to check whether a language admits a standard continuous semantics a la Scott-Strachey.
- ▶ We provide a constructive proof of correctness of the rule format for finite branching in [Fokkink and Vu, 2003].
- ▶ We provide rule formats for initials finiteness and image finiteness.

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- ▶ Rule formats for bounded nondeterminism are useful to check whether a language admits a standard continuous semantics a la Scott-Strachey.
- ▶ We provide a constructive proof of correctness of the rule format for finite branching in [Fokkink and Vu, 2003].
- ▶ We provide rule formats for initials finiteness and image finiteness.

Happy Birthday to Hanne and
Flemming!

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